## **SECURITIES LAWS AND**

# **CAPITAL MARKETS** (VOL-1)

## 'NKJ - ADDITION/ AMENDMENT CHECKLIST'

S.NO.	CHAPTERS	TO READ / COVER
I	ICSI QUESTION PAPER DECEMBER 2020	
II	ICSI QUESTION PAPER DECEMBER 2019	
III	IMPORTANT ABBREVIATIONS	
IV	PRACTICAL QUESTIONS WITH ANSWERS	
1	FINANCIAL SYSTEM & CAPITAL MARKET	ALL NEW {FULL CHAPTER}
2	SEBI, SAT & IOSCO	ALL NEW {FULL CHAPTER}
3	CAPITAL MARKET INSTRUMENTS	ADDITION / AMENDMENT
4	SEBI (ISSUE OF SWEAT EQUITY) REGULATIONS, 2002	
5	BUY BACK OF SECURITIES	ADDITION / AMENDMENT
6	REDUCTION OF SHARE CAPITAL	
7	CAPITAL MARKET INTERMEDIARIES	ADDITION / AMENDMENT
8	CREDIT RATING	
9	STOCK EXCHANGES - 1 SCRA, 1956	ADDITION / AMENDMENT
10	STOCK EXCHANGES - 2 TRADING MECHANISM	ADDITION / AMENDMENT
11	DEPOSITORIES	ADDITION / AMENDMENT
12	MUTUL FUNDS	ADDITION / AMENDMENT
13	ALTERNATIVE INVESTMENT FUND	ADDITION / AMENDMENT
14	COLLECTIVE INVESTMENT SCHEMES	ADDITION / AMENDMENT
15	REAL ESTATE INVESTMENT TRUSTS ('REIT')	ALL NEW {FULL CHAPTER}
16	INFRASTRUCTURE INVESTMENT TRUSTS ('Invits')	ALL NEW {FULL CHAPTER}
17	MONEY MARKET (AYK)	

# **SECURITIES LAWS AND**

# **CAPITAL MARKETS** (VOL-2)

### 'NKJ - ADDITION/ AMENDMENT CHECKLIST'

S.NO.	CHAPTERS	TO READ / COVER
I	ICSI QUESTION PAPER DECEMBER 2020	
II	ICSI QUESTION PAPER DECEMBER 2019	
III	IMPORTANT ABBREVIATIONS	
IV	PRACTICAL QUESTIONS WITH ANSWERS	
1	LISTING OF SECURITIES	
2	LISTING AGREEMENT	ADDITION / AMENDMENT
3	CORPORATE GOVERNANCE	ADDITION / AMENDMENT
4	PUBLIC ISSUE OF SECURITIES	
5	SEBI (ICDR) REGULATIONS 2018	ADDITION / AMENDMENT
6	GREE SHOE OPTION	ALL NEW {FULL CHAPTER}
7	SEBI (SHARE BASED EMPLOYEE BENEFITS)	ALL NEW {FULL CHAPTER}
/	REGULATIONS 2014	
8	DELISTING OF SECURITIES	ADDITION / AMENDMENT
9	INTERNATIONAL CAPITAL MARKET	ADDITION / AMENDMENT
10	INVESTOR PROTECTION	ADDITION / AMENDMENT
11	OMBUDSMAN	ADDITION / AMENDMENT
12	ACQUISITION OF COMPANY/BUSINESS TAKEOVER	ALL NEW {FULL CHAPTER}
13	INSIDER TRADING	
NKJ-PCET CLASSROOM EXAM/TEST		

#### \*\*\*TILL 2020, ALREADY COVERED AMENDMENTS LINK

- 1. https://youtu.be/gy1Lk 2d7MQ
- 2. https://youtu.be/j8K3ktnTm 0
- **3.** <a href="https://www.youtube.com/watch?v=cxnlk...">https://www.youtube.com/watch?v=cxnlk...</a>